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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/918,922	07/30/2001	Roger Paulman	11362/14	6577
7590 10/31/2005			EXAMINER	
William F. Prendergast Brinks Hofer Gilson & Lione P.O. Box 10395 Chicago, IL 60610			DUONG, THO V	
			ART UNIT	PAPER NUMBER
			3753	

DATE MAILED: 10/31/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	09/918,922	PAULMAN ET AL.	
	Examiner	Art Unit	
	Tho v. Duong	3753	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 10 August 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-7 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-7 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input checked="" type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 8/10/2005 has been entered.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-2 are rejected under 35 U.S.C. 102(b) as being anticipated by Paulman et al. (US 5,228,198). Paulman discloses (figures 1-5) a heat exchanger assembly of a side entry type having at least one fin set comprising an intermediate elongated heat exchanger tube (22) having at least two collapsed sidewall portions in figure 5, where the tube (22) is flattened by device (48); the elongated tube including an integral one-piece return bent portion and the tube wall is about 0.016 inches.

Claims 1 and 3-4 are rejected under 35 U.S.C. 102(b) as being anticipated by Ochiai et al. (JP 60158932A). Ochiai discloses (figures 1-7) a heat exchanger assembly comprising an intermediated elongated heat exchanger tube having at least two collapsed sidewalls portion (4) extending substantially the length of the tube, the elongated tube including an integral one-piece

Art Unit: 3753

return bend portion (7). Ochiai further discloses (figure 6) the collapsed sidewall portions comprise a pair of opposed elongated recesses (10) extending substantially the length of the tube.

Claims 5-6 are rejected under 35 U.S.C. 102(b) as being anticipated by Zifferer (US 5,409,057). Zifferer discloses (figures 16-17) an elongated heat exchanger tube comprising an elongated tube (1) having first and second ends and an internal passageway (3) extending between the first and second ends, the tube being formed from a sidewall; at least two collapsed portions of the sidewall of the elongated heat exchanger tube extending substantially along a length of the elongated tube, the two collapsed portions contacting each other within the passageway of the tube. Since the contacted portion of the collapsed portions is not permanent bonded, the at least two collapsed portions of the sidewall of the tube are capable of being expandable radially outward to push the at least two collapsed side wall portions outward so that they no longer contact each other.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 5-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Zifferer (US 5,409,057) in view of Zifferer (US 5,311,661). Zifferer'057 substantially discloses all of applicant's claimed invention as discussed above except for the limitation that the collapsed portions have two U-shaped configuration with the bottom portions contact each other. Zifferer'661 discloses (figures 9-11 and column 4, lines 63-column 5, line 3) that the collapsed

Art Unit: 3753

portion (27) can also have U-shaped configuration with the bottom portion contact each other for a purpose of forming a square pattern tube that fit inside a square cross section shell without requiring any filler to prevent cross circulation of the fluid in the heat exchanger using the tubes. It would have been obvious to one having ordinary skill in the art at the time the invention was made to use Zifferer'661 teaching in Zifferer'057 for a purpose of forming a square pattern tube that fit inside a square cross section shell without requiring any filler to prevent cross circulation of the fluid in the heat exchanger using the tubes.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Tanaka Atsushi (JP 52064045A) discloses a manufacturing method of finned tube type heat exchanger.

S. F. Pasternak (US 3,433,300) discloses a heat exchanger having tube inserted inside a fin.

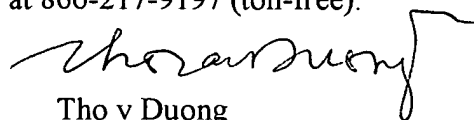
Homer et al. (US 3,603,384) discloses an expandable tube and heat exchanger.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tho v. Duong whose telephone number is 571-272-4793. The examiner can normally be reached on M-F (first Friday off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Blau can be reached on 571-272-4406. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3753

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Tho v Duong
Primary Examiner
Art Unit 3753

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TD

October 19, 2005